	1. CONTRACT NO.
ARCHITECT-ENGINEER CONTRACT	i. contract no.
ARCHITECT-ENGINEER CONTRACT	2. DATE OF CONTRACT
24 NAME OF ACCOUNT OF ENGINEED	
3A. NAME OF ACRCHITECT-ENGINEER	3B. TELEPHONE NO.(Include area code)
3C. ADDRESS OF ARCHITECT-ENGINEER (Include ZIP code)	
4. DEPARTMENT OR AGENCY AND ADDRESS (Include ZIP code)	
FEDERAL AVIATION ADMINISTRATION	
ACQUSITION MANAGEMENT GROUP ANM-52	
1601 LIND AVE SW RENTON, WA 98057	
NEW 611, W. 66667	
	8
5. PROJECT TITLE AND LOCATION	
ARCHITECT ENGINEERING SERVICES FOR DESIGN OF MODERNIZATION	OF MONTGOMERY FIELD AIR TRAFFIC
CONTROL TOWER, SAN DIEGO, CA	
	1
CONTRACT FOR (General description of services to be provided)	
The A/E Consulting Firm will furnish all labor, materials, supplies, tes	sting, supporting engineering studies,
surveying, legal descriptions, and other work required to accomplish	the design required by the attached
sections A through M.	
7. CONTRACT AMOUNT (Express in words and figures)	
7. CONTRACT AMOUNT (Express III words and ligures)	
A NEGOTIATION AUTHORITY	
<ol> <li>NEGOTIATION AUTHORITY</li> <li>Federal Aviation Administration Reauthorization Act of 1996, Public</li> </ol>	Law 104-264
Table 1 Too, 1 T	

The United States of America (called the Government) represented by the Contracting Officer executing this contract, and the Architect-Engineer agree to perform this contract in strict accordance with the clauses and the documents identified as follows, all of which are made a part of this contract: 1. SF-252 Architect-Engineer Contract 2 pages 2. Section B - Supplies/Services & Price/Cost 1 page 3. Hourly Rates page 2 4. Section C – Scope of Work 22 pages 5. Section D - Packaging and Marking page 4 6. Section E - Inspection and Acceptance page 5 7. Section F - Deliveries Or Performance page 6 8. Section G – Contract Administration Data page 7 9. Section H Special Contract Requirements page 8 Section I – Contract Clauses pages 9-13 11. Section J List of Attachments page 14 12. Section K - Representations, Certifications and Other Statements of Offerors pages 15-20 13. Section L – Instructions, Conditions, and Notices To Offerors pages 21-24 14. Section M – Evaluation Factors For Award pages 25-26 If the parties to this contract are comprised of more than one legal entity, each entity shall be jointly and severally liable under this contract. The parties hereto have executed this contract as of the date recorded in Item 2. SIGNATURES NAMES AND TITLES (Typed) 11. ARCHITECT-ENGINEER OR OTHER PROFESSIONAL SERVICES CONTRACTOR В C 12. THE UNITED STATES OF AMERICA Contracting Officer

# PART I - SECTION B SUPPLIES/SERVICES & PRICE/COST

The A-E shall provide all labor, materials and equipment necessary to perform all work for Architect-Engineering (A-E) Services for Montgomery Field Airport Traffic Control Tower (ATCT) Refurbishment, San Diego, CA in accordance with schedule, contract clauses, and Section C Statement of Work (SOW).

ITEM	DESCRIPTION	QTY	/ U/I		AMOUNT
0001	Complete A-E Services (Including start-up meeting, 50% design, 100% design and	1	job	LS	\$
	issue for Solicitation and construction)				

The estimated magnitude of construction is \$1,500,000-2,000,000

All Contract Line Items shall encompass all costs related to (a) direct and indirect labor, fringe benefits, overhead, G&A expenses, profit, material, equipment, other direct costs, insurance, freight, handling, transportation, inspection, testing, operation and maintenance manuals, bonds, etc., (b) federal, state, and local taxes, (c) all applicable fees permits, licenses, and (d) any miscellaneous charges. An offeror is required to provide a price for each contract line item (CLIN). Failure to comply may result in the rejection of the subject offer. A single award shall be made.

The offeror shall provide a breakdown, in their own format, to support the above costs proposed. The documentation shall include at a minimum the proposed disciplines, rates, number of hours, overhead and profit.

# **HOURLY RATES**

DISCIPLINE	Regular Hours	Over Time Hours
Senior Civil Engineer		
Mid Level Civil Engineer		
Associate Civil Engineer		
Senior Structural Engineer		
Mid Level Structural Engineer		
Associate Structural Engineer		
Senior Mechanical Engineer		
Mid Level Mechanical Engineer		
Associate Mechanical Engineer		
Senior Electrical Engineer		
Mid Level Electrical Engineer		
Associate Electrical Engineer		
Senior Fire and Life Safety Engineer		
Mid Level Fire and Life Safety Engineer		
Associate Fire and Life Safety Engineer		
Senior Environmental Engineer		
Mid Level Environmental Engineer		
Associate Environmental Engineer		
Senior Architect		
Mid Level Architect		
Associate Architect		
Landscaping Architect		
Senior Drafter		
Associate Drafter		
Accounting		
Administrative assistant		
Filing Clerk		
Overhead		
Profit		

## PART I - SECTION C SCOPE OF WORK

See volume II

# PART I - SECTION D PACKAGING AND MARKING

No clauses applicable to this section

# PART I - SECTION E INSPECTION AND ACCEPTANCE

# 3.1-1 Clauses and Provisions Incorporated by Reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

- 3.10.4-1 Contractor Inspection Requirements (April 1996)
- 3.10.4-4 Inspection of Services Both Fixed-Price & Cost Reimbursement (April 1996)

# PART I - SECTION F DELIVERIES OR PERFORMANCE

# 3.1-1 Clauses and Provisions Incorporated by reference (December 2005)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov (on this web page, select "Search and View Clauses").

**3.10.1-24 Notice of Delay** (February 2009)

# PART I - SECTION G CONTRACT ADMINISTRATION DATA

## 3.1-1 Clauses and Provisions Incorporated by reference (December 2005)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov (on this web page, select "Search and View Clauses").

## 3.10.1-22 Contracting Officer's Technical Representative (July 1996)

G001. <u>CORRESPONDENCE:</u> Proper routing and distribution of correspondence is required to insure that the Contracting Officer has knowledge of pertinent action taken relating to the contract. The Contractor shall furnish to the Contracting Officer copies of ALL written correspondence provided to the COTR including transmittal letters. Submittals accompanying transmittal letters to the COTR SHALL NOT be forwarded to the Contracting Officer. A copy of all correspondence directed to the Contracting Officer shall be sent to the COTR.

**G002. INVOICE SUBMISSION:** The Contractor shall submit certified request for payment(s) to the Contracting Officer, at the following address:

DOT, FEDERAL AVIATION ADMINISTRATION ACQUISITION MANAGEMENT BRANCH - ANM 52 ATTN: PATRICIA VIKESLAND 1601 LIND AVE S.W., SUITE 340 RENTON, WA. 98057

# PART I - SECTION H SPECIAL CONTRACT REQUIREMENTS

### 3.1-1 Clauses and Provisions Incorporated by Reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

### 3.8.2-17 Key Personnel and Facilities (July 1996)

- (a) The personnel and/or facilities as specified below are considered essential to the work being performed hereunder and may, with the consent of the contracting parties, be changed from time to time during the course of the contract.
- (b) Prior to removing, replacing, or diverting any of the specified personnel and/or facilities, the Contractor shall notify in writing, and receive consent from, the Contracting Officer reasonably in advance of the action and shall submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on this contract.
- (c) No diversion shall be made by the Contractor without the written consent of the Contracting Officer.
- (d) The key personnel and/or facilities under this contract are:

Key personnel submitted in offeror's technical proposal

(End of clause)

## PART II - SECTION I CONTRACT CLAUSES

# 3.1-1 Clauses and Provisions Incorporated by Reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

3.1.7-2	Organizational Conflicts of Interest (August 1997)
3.1.7-4	Organizational Conflict of Interest (February 2009)
3.2.2.3-33	Order of Precedence (February 2009)
3.2.2.3-61	Responsibility of the Architect-Engineer Contractor (July 2004)
3.2.2.7-6	Protecting the Government's Interest when Subcontracting with Contractors Debarred,
3.2.2.7-0	Suspended, or Proposed for Debarment (May 2011)
3.2.2.7-8	Disclosure of Team Arrangements (April 2008)
3.2.5-1	Officials Not to Benefit (April 1996)
3.2.5-3	Gratuities or Gifts (January 1999)
3.2.5-4	Contingent Fees (October 1996)
3.2.5-5	
3.2.5-8	Anti-Kickback Procedures (October 2010) Whistleblower Protection for Contractor Employees (April 1996)
3.3.1-3	Payments under Fixed-Price Architect-Engineer Contracts (April 1996)
3.3.1-9	한다. 그리고 그리고 아내는
3.3.1-10	Interest (September 2009)
3.3.1-15	Availability of Funds (April 1996)
3.3.1-18	Assignment of Claims (April 1996)  Propert Poyment for Fired Price Architect Engineer Contracts (Soutember 2000)
3.3.1-34	Prompt Payment for Fixed-Price Architect-Engineer Contracts (September 2009)
3.3.2-1	Payment by Electronic Funds Transfer- Central Contractor Registration (February 2009)
3.4.1-7	FAA Cost Principles (October 1996) Notice to Proceed (April 1996)
3.4.1-12	Insurance (July 1996)
3.4.1-13	Errors and Omissions (July 1996)
3.4.2-6	Taxes - Contracts Performed in U.S. Possessions or Puerto Rico (October 1996)
3.4.2-8	Federal, State, and Local Taxes - Fixed Price Contract (April 1996)
3.5-1	Authorization and Consent (January 2009)
3.6.1-3	Utilization of Small, Small Disadvantaged and Women-Owned, and Service-Disabled Veteran
5.0.1-5	Owned Small Business Concerns (February 2009)
3.6.2-2	Convict Labor (April 1996)
3.6.2-9	Equal Opportunity (August 1998)
3.6.2-12	Equal Opportunity for Veterans (January 2011) (February 2011)
3.6.2-13	Affirmative Action for Workers With Disabilities (October 2010)
3.6.2-16	Notice to the Government of Labor Disputes (April 1996)
3.6.2-28	Service Contract Act of 1965, as Amended (October 2010)
3.6.2-35	Prevention of Sexual Harassment (August 1998)
3.6.2-39	Trafficking in Persons (January 2008)
3.6.3-3	Hazardous Material Identification and Material Safety Data (April 2009)
3.6.3-11	Toxic Chemical Release Reporting (April 2008)
3.6.3-16	Drug Free Workplace (February 2009)
3.6.4-10	Restrictions on Certain Foreign Purchases (January 2010)
3.7-1	Privacy Act Notification (October 1996)
3.7-2	Privacy Act (October 1996)
3.9.1-1	Contract Disputes (October 2011)
3.9.1-2	Protest After Award (August 1997)
3.10.1-7	Bankruptcy (April 1996)
3.10.1-8	Suspension of Work (August 1998)
211011 0	Suspension of from (chagust 1770)

3.10.1-12	Changes - Fixed-Price (April 1996)
3.10.1-12	Alternate III Changes - Fixed-Price Alternate III (April 1996)
3.10.1-25	Novation and Change-Of-Name Agreements (October 2007)
3.10.1-26	Contractor Performance Assessment Reporting System (July 2011)
3.10.2-1	Subcontracts (Fixed-Price Contracts) (April 1996)
3.13-3	Printing/Copying Double-sided on Recycled Paper (July 2008)
3.13-5	Seat Belt Use by Contractor Employees (January 1999)
3.13-11	Plain Language (July 2006)
3.13-13	Contractor Policy to Ban Text Messaging While Driving (February 2011)
3.14-3	Foreign Nationals as Contractor Employees (April 2008)
3.14-5	Sensitive Unclassified Information (SUI) (July 2008)

### 3.2.2.3-37 Notification of Ownership Changes (July 2004)

(a) The Contractor (you) must notify FAA in writing within 30 days when you become aware that a change in ownership has occurred or will occur and that the change could affect the value of your capitalized assets in the accounting records, asset valuations, or cause any other cost changes.

## (b) You must:

- (1) Maintain current, accurate, and complete inventory records of assets and their costs;
- (2) Provide the Contracting Officer (CO) access to the records on request;
- (3) Ensure that all individual and grouped assets, their capitalized values, accumulated depreciation or amortization, and remaining useful lives are identified accurately before and after each of your ownership changes; and
- (4) Retain and maintain depreciation and amortization schedules based on the asset records maintained before each ownership change.
- (c) You must include the substance of this clause in all subcontracts under this contract with a value exceeding \$1,000,000 and that require cost and price data.

(End of clause)

#### 3.2.2.3-61 Responsibility of the Architect-Engineer Contractor (July 2004)

- (a) The Contractor (you) are responsible for the professional quality, technical accuracy, and coordination of all designs, drawings, specifications, and other services you provide under this contract. You must, at no additional cost to us, correct or revise any errors or deficiencies in your designs, drawings, specifications, and other services.
- (b) FAA's (we, our) review, approval or acceptance of, or payment for, the services this contract requires are not a waiver of our rights under this contract or of any cause of action arising out of performing this contract. You remain liable to us under applicable law for damages resulting from your negligent performance of any of the services provided under this contract.
- (c) The rights and remedies this contract gives FAA are in addition to any other rights and remedies the law provides.
- (d) If you are comprised of more than one legal entity, each entity is be jointly and severally liable under this clause.

(End of clause)

#### 3.3.1-33 Central Contractor Registration (January 2008)

(a) Definitions. As used in this clause

"Central Contractor Registration (CCR) database" means the primary Government repository for Contractor information required for the conduct of business with the Government.

"Data Universal Numbering System (DUNS) number" means the 9-digit number assigned by Dun and Bradstreet, Inc. (D&B) to identify unique business entities.

"Data Universal Numbering System +4 (DUNS+4) number" means the DUNS number assigned by D&B plus a 4-character suffix that may be assigned by a business concern. (D&B has no affiliation with this 4-character suffix.) This 4-character suffix may be assigned at the discretion of the business concern to establish additional CCR records for identifying alternative Electronic Funds Transfer (EFT) accounts for the same parent concern.

"Registered in the CCR database" means that the Contractor has entered all mandatory information, including the DUNS number or the DUNS+4 number, into the CCR database.

- (b)(1) By submission of an offer, the offeror acknowledges the requirement that a prospective awardee shall be registered in the CCR database prior to award, during performance, and through final payment of any contract, basic agreement, basic ordering agreement, or blanket purchasing agreement resulting from this solicitation.
- (2) The offeror shall enter, in Representations, Certifications and Other Statements of Offerors Section of the solicitation, the DUNS or DUNS +4 number that identifies the offeror's name and address exactly as stated in the offer. The DUNS number will be used by the Contracting Officer to verify that the offeror is registered in the CCR database.
- (c) If the offeror does not have a DUNS number, it should contact Dun and Bradstreet directly to obtain one.
- (1) An offeror may obtain a DUNS number
- (i) If located within the United States, by calling Dun and Bradstreet at 1-866-705-5711 or via the Internet at http://fedgov.dnb.com/webform; or
- (ii) If located outside the United States, by contacting the local Dun and Bradstreet office.
- (2) The offeror should be prepared to provide the following information:
- (i) Company legal business.
- (ii) Tradestyle, doing business, or other name by which your entity is commonly recognized.
- (iii) Company Physical Street Address, City, State, and ZIP Code.
- (iv) Company Mailing Address, City, State and ZIP Code (if different from physical street address).
- (v) Company Telephone Number.
- (vi) Date the company was started.
- (vii) Number of employees at your location.
- (viii) Chief executive officer/key manager.
- (ix) Line of business (industry).
- (x) Company Headquarters name and address (reporting relationship within your entity).
- (d) If the offeror does not become registered in the CCR database in the time prescribed by the Contracting Officer, the Contracting Officer may proceed to award to the next otherwise successful registered offeror.
- (e) Processing time, which normally takes 48 hours, should be taken into consideration when registering. Offerors who are not registered should consider applying for registration immediately upon receipt of this solicitation.
- (f) The Contractor is responsible for the accuracy and completeness of the data within the CCR database, and for any liability resulting from the Government's reliance on inaccurate or incomplete data. To remain registered in the CCR database after the initial registration, the Contractor is required to review and update on an annual basis from the date of initial registration or subsequent updates its information in the CCR database to ensure it is current, accurate and complete. Updating information in the CCR does not alter the terms and conditions of this contract and is not a substitute for a properly executed contractual document.

- (g)(1)(i) If a Contractor has legally changed its business name, "doing business as" name, or division name (whichever is shown on the contract), or has transferred the assets used in performing the contract, but has not completed the necessary requirements regarding novation and change-of-name agreements in AMS Procurement Guidance T3.10.1.A-8, the Contractor shall provide the responsible Contracting Officer a minimum of one business day's written notification of its intention to:
- (A) change the name in the CCR database;
- (B) comply with the requirements of T3.10.1.A-8; and
- (C) agree in writing to the timeline and procedures specified by the responsible Contracting Officer. The Contractor must provide the Contracting Officer with the notification, sufficient documentation to support the legally changed name.
- (ii) If the Contractor fails to comply with the requirements of paragraph (g)(1)(i) of this clause, or fails to perform the agreement at paragraph (g)(1)(i)(C) of this clause, and, in the absence of a properly executed novation or change-of-name agreement, the CCR information that shows the Contractor to be other than the Contractor indicated in the contract will be considered to be incorrect information within the meaning of the "Suspension of Payment" paragraph of the electronic funds transfer (EFT) clause of this contract.
- (2) The Contractor shall not change the name or address for EFT payments or manual payments, as appropriate, in the CCR record to reflect an assignee for the purpose of assignment of claims. Assignees shall be separately registered in the CCR database. Information provided to the Contractor's CCR record that indicates payments, including those made by EFT, to an ultimate recipient other than that Contractor will be considered to be incorrect information within the meaning of the "Suspension of payment" paragraph of the EFT clause of this contract.
- (h) Offerors and Contractors may obtain information on registration and annual confirmation requirements via the internet at http://www.ccr.gov/ or by calling 1-888-227-2423, or 269-961-5757.

(End of Clause)

#### 3.6.2-14 Employment Reports on Veterans (February 2011)

- (a) Unless the contractor is a State or local government agency, the contractor must report at least annually, as required by the Secretary of Labor, on:
- (1) The total number of employees in the contractor's workforce, by job category and hiring location, who are disabled veterans, other protected veterans, Armed Forces service medal veterans, and recently separated veterans,
- (2) The total number of new employees hired during the period covered by the report, and of the total, the number of disabled veterans, other protected veterans, Armed Forces service medal veterans, and recently separated veterans; and
- (3) The maximum number and minimum number of employees of the Contractor or subcontractor at each hiring location during the period covered by the report.
- (b) The above items must be reported by completing the form titled 'Federal Contractor Veterans' Employment Report VETS-100A.'
- (c) Reports shall be submitted no later than September 30 of each year.
- (d) The employment activity report required by paragraph (a)(2) of this clause must reflect total hires during the most recent 12-month period as of the ending date selected for the employment profile report required by paragraph (a)(1) of this clause. Contractors may select an ending date: (1) As of the end of any pay period during the period January through March 1st of the year the report is due, or (2) as of December 31, if the contractor has previous written approval from the Equal Employment Opportunity Commission to do so for purposes of submitting the Employer Information Report EEO-1 (Standard Form 100).
- (e) The count of veterans reported according to paragraph (a) of this clause must be based on data known to the contractor when completing the VETS-100A. .The Contractor's knowledge of veterans status may be obtained in a variety of ways,

DTFAWN-12-R-00021

including an invitation to applicants to self-identify (in accordance with 41 CFR 60-300.42), voluntary self-disclosure by employees, or actual knowledge of veteran status by the contractor. This paragraph does not relieve the employer of liability for a determination under 38 U.S.C. 4212.

(f) Subcontracts. The Contractor shall include the terms of this clause in every subcontract or purchase order of \$100,000 or more unless exempted by rules, regulations, or orders of the Secretary of Labor.

(End of clause)

## PART III - SECTION J LIST OF ATTACHMENTS

1.	A-E Qualifications Questionnaire	е
2.	SF 330 ARCHITECT-ENGINEER QUALIFICATIONS	ges

# PART IV - SECTION K

# REPRESENTATIONS, CERTIFICATIONS, AND OTHER STATEMENTS OF OFFERORS

This screening	information	request (SIR)	or contract,	, as appl	icable, i	incorporates	by re	ference	the pro	visions (	or clau	ises
					-							

Clauses and Provisions Incorporated by Reference (July 2011)

3.1-1

(End of provision)

	with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make vailable, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.
3.2.2.3-81 3.2.5-2 3.2.5-7 3.6.3-4	Affiliated Offerors (July 2004) Prohibition Against Contracting with Inverted Domestic Corporations-Representation (January 2010) Independent Price Determination (October 1996) Disclosure Regarding Payments to Influence Certain Federal Transactions (October 2010) Recovered Material Certification (April 2009) Biobased Product Certification (July 2010)
(a) 'Acceptan	Minimum Offer Acceptance Period (July 2004) ce period,' as used in this provision, means the number of calendar days the FAA (we, us) has to award a the date the SIR specifies for receiving offers.
(b) This provi	ision supersedes any language about the acceptance period appearing elsewhere in this SIR.
(c) We requir	e a minimum acceptance period of60 calendar days.
	or (you) may specify a longer acceptance period than the period shown in paragraph (c). To specify a longer the blank: The offeror allows the following acceptance period: calendar days.
(e) We may re	eject an offer allowing less than the FAA's minimum acceptance period.
(f) You agree	to fulfill your offer completely if the FAA accepts your offer in writing within:
(1) The a	acceptance period stated in paragraph (c) of this provision; or
(2) Any	longer acceptance period stated in paragraph (d) of this provision.
(End of provi	sion)
	Type of Business Organization (July 2004) the applicable box, the offeror (you) represents that
(a) You opera ] a partnership	te as [] a corporation incorporated under the laws of the State of
(b) If you are venture, or [] (country)	a foreign entity, you operate as [] an individual, [] a partnership, [] a nonprofit organization, [] a joint a corporation, registered for business in

5.2.2.5-15 Authorized Negotiators (July 2004)
The offeror states that the following persons are authorized to negotiate on your behalf with the FAA in connection with this
offer:
Name:
Γitle:
Phone number:
(End of provision)
3.2.2.3-70 Taxpayer Identification (July 2004) (a) Definitions.
(1) "Common parent," as used in this clause, means a corporate entity that owns or controls an affiliated group of corporations that files an offeror's (you, your) Federal income tax returns on a consolidated basis, and of which you are a member.
(2) "Corporate status," as used in this clause, means a designation as to whether you are a corporate entity, an unincorporated entity (for example, sole proprietorship or partnership), or a corporation providing medical and health care services.
(3) "Taxpayer Identification Number (TIN)," as used in this clause, means the number the Internal Revenue Service (IRS) requires you use in reporting income tax and other returns.
(b) All offerors must submit the information required in paragraphs (c) through (e) of this provision to comply with reporting requirements of 26 U.S.C. 6041, 6041A, and 6050M and implementing regulations issued by IRS. The FAA will use this information to collect and report on any delinquent amounts arising out of your relation with the Federal Government, under Public Law 104-134, the Debt Collection Improvement Act of 1996, Section 31001(I)(3). If the resulting contract is subject to the reporting requirements and you refuse or fail to provide the information, the Contracting Officer (CO) may reduce your payments 31 percent under the contract.
(c) Taxpayer Identification Number (TIN).
TIN:
(d) Corporate Status.
Corporation providing medical and health care services, or engaged in the billing and collecting of payments for such services;  Other corporate entity  Not a corporate entity  Sole proprietorship  Partnership  Hospital or extended care facility described in 26 CFR 501(c)(3) that is exempt from taxation under 26 CFR 501(a).

(e) Common Parent.

[] A common parent does not own or control the offeror as defined in paragraph (a).	
[] Name and TIN of common parent:	
Name	
TIN	
(End of provision)	

## 3.2.2.7-7 Certification Regarding Responsibility Matters (January 2010)

- (a)(1) The Offeror certifies, to the best of its knowledge and belief, that
- (i) The Offeror and/or any of its Principals-
- A) Are [] are not [] presently debarred, suspended, proposed for debarment, or declared ineligible for the award of contracts by any Federal agency;
- (B) Have [] have not [] within a three-year period preceding this offer, been convicted of or had a civil judgment rendered against them for: commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public
- (Federal, state, or local) contract or subcontract; violation of Federal or state antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, tax evasion, violating Federal criminal tax laws or receiving stolen property; and
- (C) Are [] are not [] presently indicted for, or otherwise criminally or civilly charged by a governmental entity with, commission of any of the offenses enumerated in subdivision a)(1)
- (i)(B) of this provision.
- (D) Have [], have not [], within a three-year period preceding this offer, been notified of any delinquent Federal taxes in an amount that exceeds \$3,000 for which the liability remains unsatisfied.
- (1) Federal taxes are considered delinquent if both of the following criteria apply:
- (i) The tax liability is finally determined. The liability is finally determined if it has been assessed. A liability is not finally determined if there is a pending administrative or judicial challenge. In the case of a judicial challenge to the liability, the liability is not finally determined until all judicial appeal rights have been exhausted.
- (ii) The taxpayer is delinquent in making payment. A taxpayer is delinquent if the taxpayer has failed to pay the tax liability when full payment was due and required. A taxpayer is not delinquent in cases where enforced collection action is precluded.
- (2) Examples-
- (i) The taxpayer has received a statutory notice of deficiency, under I.R.C. Sec. 6212, which entitles the taxpayer to seek Tax Court review of a proposed tax deficiency. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek Tax Court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights.
- (ii) The IRS has filed a notice of Federal tax lien with respect to an assessed tax liability, and the taxpayer has been issued a notice under I.R.C. Sec. 6320 entitling the taxpayer to request a hearing with the IRS Office of Appeals contesting the lien filing, and to further appeal to the Tax Court if the IRS determines to sustain the lien filing. In the course of the hearing, the taxpayer is entitled to contest the underlying tax liability because the taxpayer has had no prior opportunity to contest the liability. This is not a delinquent tax because it is not a final tax liability. Should the taxpayer seek tax court review, this will not be a final tax liability until the taxpayer has exercised all judicial appeal rights.
- (iii) The taxpayer has entered into an installment agreement pursuant to I.R.C. Sec. 6159. The taxpayer is making timely payments and is in full compliance with the agreement terms. The taxpayer is not delinquent because the taxpayer is not currently

required to make full payment.

- (iv) The taxpayer has filed for bankruptcy protection. The taxpayer is not delinquent because enforced collection action is stayed under 11 U.S.C. 362 (the Bankruptcy Code).
- (b) The Offeror has [] has not [] within a three-year period preceding this offer, had one or more contracts terminated for default by any Federal agency.
- (2) 'Principals,' for the purposes of this certification, means officers; directors; owners; partners; and, persons having primary management or supervisory responsibilities within a business entity (e.g., general manager; plant manager; head of a subsidiary, division, or business segment, and similar positions). THIS CERTIFICATION CONCERNS A MATTER WITHIN THE JURISDICTION OF AN AGENCY OF THE UNITED STATES AND THE MAKING OF A FALSE.

FICTITIOUS, OR FRAUDULENT CERTIFICATION MAY RENDER THE MAKER SUBJECT TO PROSECUTION UNDER SECTION 1001, TITLE 18, UNITED STATES CODE.

- (c) The Offeror shall provide immediate written notice to the Contracting Officer if, at any time prior to contract award, the Offeror learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
- (d) A certification that any of the items in paragraph (a) of this provision exists will not necessarily result in withholding of an award under this SIR. However, the certification will be considered in connection with a determination of the Offeror's responsibility. Failure of the Offeror to furnish a certification or provide such

additional information as requested by the Contracting Officer may render the Offeror nonresponsible.

(e) Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render, in good faith, the certification required by paragraph (a) of this provision. The knowledge and information of an Offeror is not

required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

(f) The certification in paragraph (a) of this provision is a material representation of fact upon which reliance was placed when making award. If it is later determined that the Offeror knowingly rendered an erroneous certification, in addition to other remedies available to the Government, the Contracting Officer may terminate the contract resulting from this SIR for default.

(End of provision)

## 3.3.1-35 Certification of Registration in Central Contractor Registration (CCR) (April 2006)

In accordance with Clause 3.3.1-33, Central Contractor Registration, offeror certifies that they are registered in the CCR Database and have entered all mandatory information including the DUNS or DUNS+4 Number.

Name:	
Title:	
Phone Number:	
(End of provision)	

### 3.6.2-8 Affirmative Action Compliance (April 1996)

The offeror represents that (a) it [] has developed and has on file, [] has not developed and does not have on file, at each establishment, affirmative action programs required by the rules and regulations of the Secretary of Labor (41 CFR 60-1 and 60-2), or (b) it [] has not previously had contracts subject to the written affirmative action programs requirement of the rules and regulations of the Secretary of Labor.

(End of provision)

# 3.6.3-5 Estimate of Percentage of Recovered Material for Designated Items to be Used in the Performance of the Contract (April 2009)

- (a) Definitions. As used in this clause:
- (1) "Post consumer material" means a material or finished product that has served its intended use and has been discarded for disposal or recovery, having completed its life as a consumer item. Post consumer material is a part of the broader category of "recovered material content."
- (2) "Recovered material content" means waste materials and by-products which have been recovered or diverted from solid waste including post consumer material, but such term does not include those materials and by-products generated from, and commonly reused within, an original manufacturing process.
- (b) By signing this offer, the offeror estimates that the total percentage(s) of recovered material for EPA-designated Items (see 40 CFR Part 247) delivered and/or to be used in the products and services provided under the terms and specifications set forth in this screening information request must be as follows:

i recove	red material content	t↑
		-

- (c) Prospective offerors are cautioned that the Government will conclude that the percentage(s) of recovered material content delivered and/or to be used in products and services provided under any resulting contract will be '0%' if the estimate(s) requested in this solicitation provision are left blank.
- (d) Prospective offerors are further cautioned that estimated percentage(s) of recovered material content to be delivered and/or to be used in products and services provided under any resulting contract that are less than the requirements set forth in this solicitation may render a prospective offeror's offer as nonresponsive.

(End of provision)

## 3.6.3-10 Certification of Toxic Chemical Release Reporting (April 2009)

- (a) Pursuant to Executive Order 13423, the offeror must execute this certification as a prerequisite for making or entering into this contract.
- (b) By signing this offer, the offeror certifies that--
- (1) As the owner or operator of facilities that will be used in the performance of this contract that are subject to the filing and reporting requirements described in section 313 of the Emergency Planning and Community Right-to-Know Act of 1986 (EPCRA) (42 U.S.C. 11023) and section 6607 of the Pollution Prevention Act of 1990 (PPA) (42 U.S.C. 13106), the offeror will file and continue to file for such facilities for the life of the contract the Toxic Chemical Release Inventory Form (Form R) as described in EPCRA sections 313(a) and (g), and PPA section 6607; or
- (2) None of its owned or operated facilities to be used in the performance of this contract is subject to the Form R filing and reporting requirements because each such facility is exempt for at least one of the following reasons: [The offeror to check each block that is applicable.]
- \_\_(i) The facility does not manufacture, process, or otherwise use any toxic chemicals listed under section 313(c) of EPCRA, 42 U.S.C. 11023(c);
- \_\_(ii) The facility does not have 10 or more full-time employees as specified in section 313(b)(1)(A) of EPCRA, 42 U.S.C. 11023(b)(1)(A);
- \_\_(iii) The facility does not meet the reporting thresholds of toxic chemicals established under section 313(f) of EPCRA, 42 U.S.C. 11023(f) (including the alternate thresholds at 40 CFR 372.27, provided an appropriate certification form has been filed with EPA);
- \_\_(iv) The facility does not fall within the following Standard Industrial Classification (SIC) codes or their corresponding Northern American Industry Classification System (NAICS) sectors:
- (a) Major group code 10 (except 1011, 1081, amd 1094).
- (b) Major group code 12 (except 1241).
- (c) Major group code 20 through 39.
- (d) Industry code 4911, 4931, or 4939 (limited to facilities that combust coal and/or oil for the purpose of generating power distribution in commerce).
- (e) Industry code 4953 (limited to facilities regulated under the Resource Conservation and Recovery Act, Subtitle C (42 U.S.C. 6921), 5169, 5171, or 7389 (limited to facilities primarily engaged in solvent services on a contract or fee basis); or

<sup>\*</sup>In addition, for paper products, include the percentage of post consumer material.

\_(v) The facility is not located within any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the United States Virgin Islands, the Northern Mariana Islands, or any other territory or possession over which the United States has jurisdiction.

(End of provision)

## 3.6.4-19 Prohibition on Engaging in Sanctioned Activities Relating to Iran-Certification. (February 2011)

(a) Definition.

"Person"?

- (1) Means?
- (i) A natural person;
- (ii) A corporation, business association, partnership, society, trust, financial institution, insurer, underwriter, guarantor, and any other business organization, any other nongovernmental entity, organization, or group, and any governmental entity operating as a business enterprise; and
- (iii) Any successor to any entity described in paragraph (1)(ii) of this definition; and
- (2) Does not include a government or governmental entity that is not operating as a business enterprise.
- (b) Certification. Except as provided in paragraph (c) of this provision or if a waiver has been granted in accordance with FAA AMS Procurement Guidance T3.6.3A.8.d, by submission of its offer, the offeror certifies that the offeror, or any person owned or controlled by the offeror, does not engage in any activities for which sanctions may be imposed under section 5 of the Iran Sanctions Act of 1996. These sanctioned activities are in the areas of development of the petroleum resources of Iran, production of refined petroleum products in Iran, sale and provision of refined petroleum products to Iran, and contributing to Iran's ability to acquire or develop certain weapons.
- (c) The certification requirement of paragraph (b) of this provision does not apply if the acquisition is subject to the trade-related acts in FAA AMS T3.6.4A.6

(End of provision)

# PART IV - SECTION L INSTRUCTIONS, CONDITIONS, AND NOTICES TO OFFERORS

## 3.1-1 Clauses and Provisions Incorporated by Reference (July 2011)

This screening information request (SIR) or contract, as applicable, incorporates by reference the provisions or clauses listed below with the same force and effect as if they were given in full text. Upon request, the Contracting Officer will make the full text available, or offerors and contractors may obtain the full text via Internet at: http://conwrite.faa.gov.

3.2.2.3-1	False Statements in Offers (July 2004)
3.2.2.3-6	Submittals in the English Language (July 2004)
3.2.2.3-11	Unnecessarily Elaborate Submittals (July 2004)
3.2.2.3-12	Amendments to Screening Information Requests (July 2004)
3.2.2.3-13	Submission of Information/Documentation/Offers (July 2004)
3.2.2.3-14	Late Submissions, Modifications, and Withdrawals of Submittals (July 2004)
3.2.2.3-16	Restricting, Disclosing and Using Data (July 2004)
3.2.2.3-17	Preparing Offers (July 2004)
3.2.2.3-18	Prospective Offeror's Requests for Explanations (February 2009)
3.2.2.3-19	Contract Award (July 2004)
3.2.2.3-72	Announcing Competing Offerors (July 2004)
3.13-4	Contractor Identification Number - Data Universal Numbering System (DUNS) Number (April
	2006)

## **3.2.2.3-22** Period for Acceptance of Offer (July 2004)

The offeror (you) agrees that if this offer is accepted within \_\_\_\_\_ calendar days (60 calendar days unless you insert a different period) from the date the SIR specifies for receiving offers, to provide all items for which you offer prices at the price set opposite each item, delivered at the designated point(s), within the time specified in the Schedule.

(End of provision)

### **3.2.4-1** Type of Contract (April 1996)

The FAA contemplates award of a firm fixed price contract resulting from this Screening Information Request.

(End of provision)

### **3.9.1-3 Protest** (October 2011)

AS A CONDITION OF SUBMITTING AN OFFER OR RESPONSE TO THIS SIR (OR OTHER SOLICITATION, IF APPROPRIATE), THE OFFEROR OR POTENTIAL OFFEROR AGREES TO BE BOUND BY THE FOLLOWING PROVISIONS RELATING TO PROTESTS:

- (a) Protests concerning Federal Aviation Administration Screening Information Requests (SIRs) or awards of contracts shall be resolved through the Federal Aviation Administration (FAA) dispute resolution system at the Office of Dispute Resolution for Acquisition (ODRA) and shall be governed by the procedures set forth in 14 C.F.R. Parts 14 and 17, which are hereby incorporated by reference. Judicial review, where available, will be in accordance with 49 U.S.C. 46110 and shall apply only to final agency decisions. A protestor may seek review of a final FAA decision only after its administrative remedies have been exhausted.
- (b) Offerors initially should attempt to resolve any issues concerning potential protests with the Contracting Officer. The Contracting Officer should make reasonable efforts to answer questions promptly and completely, and, where possible, to resolve concerns or controversies. The protest time limitations, however, will not be extended by attempts to resolve a potential protest with the Contracting Officer.
- (c) The filing of a protest with the ODRA may be accomplished by mail, overnight delivery, hand delivery, or by facsimile or if permitted by order of the ODRA, by electronic filing.. A protest is considered to be filed on the date it is received by the ODRA during normal business hours. The ODRA's normal business hours are from 8:30 am to 5:00 pm Eastern Time.
- (d) Only an interested party may file a protest. An interested party is one whose direct economic interest has been or would be affected by the award or failure to award an FAA contract. Proposed subcontractors are not "interested parties" within this definition.
- (e) A written protest must be filed with the ODRA within the times set forth below, or the protest shall be dismissed as untimely:
- (1) Protests based upon alleged improprieties in a solicitation or a SIR that are apparent prior to bid opening or the time set for receipt of initial proposals shall be filed prior to bid opening or the time set for the receipt of initial proposals.
- (2) In procurements where proposals are requested, alleged improprieties that do not exist in the initial solicitation, but which are subsequently incorporated into the solicitation, must be protested not later than the next closing time for receipt of proposals following the incorporation.
- (3) For protests other than those related to alleged solicitation improprieties, the protest must be filed on the later of the following two dates:
- (i) Not later than seven (7) business days after the date the protester knew or should have known of the grounds for the protest; or
- (ii) If the protester has requested a post-award debriefing from the FAA Product Team, not later than five (5) business days after the date on which the Product Team holds that debriefing.
- (f) Protests shall be filed at:
- Office of Dispute Resolution for Acquisition Federal Aviation Administration
   Independence Ave., S.W.
   Room 323

Washington, DC 20591

Telephone: (202) 267-3290 Facsimile: (202) 267-3720; or

- (2) Other address as specified in 14 CFR Part 17.
- (g) At the same time as filing the protest with the ODRA, the protester shall serve a copy of the protest on the Contracting Officer and any other official designated in the SIR for receipt of protests by means reasonably calculated to be received by the Contracting Officer on the same day as it is to be received by the ODRA. The protest shall include a signed statement from the protester, certifying to the ODRA the manner of service, date, and time when a copy of the protest was served on the Contracting Officer and other designated official(s).
- (h) Additional information and guidance about the ODRA dispute resolution process for protests can be found on the ODRA Website at http://www.faa.gov.

(End of provision)

#### L-0001 SUBMISSION OF OFFER

An Offeror shall submit an offer which shall include the following:

- 1. Cover letter stating that no exceptions are taken to any specification requirements or contract terms and conditions, or a detailed summary of all exceptions taken.
- 2. SF252 Award Contract
- 3. Part I, Section B, PRICE SCHEDULE including list of disciplines and rates
- 4. Part IV, Section K, REPRESENTATIONS, CERTIFICATIONS, AND OTHER STATEMENTS and Business Declaration Form
- 5. Technical Proposal to include: A-E Qualifications Questionnaire Information and SF 330.
- 6. Qualification Questions in addition to information requested in the RFP:
- a. List the firms (prime or sub-contractors) that you are proposing to do the work for each of the architecture and engineering disciplines on this project.
- b. How many full-time employees does your firm currently have in the primary office proposed to do the design and drawing work for this project?
- c. How many of the proposed primary office's full-time employees are registered architects in Washington, Oregon or California?
- d. How many of the proposed primary office's employees are licensed engineers in Washington, Oregon or California? In listing the number of engineers, please break the list down by discipline.
- e. Please attach professional resumes of the proposed principal-in-charge and the proposed person (architect or engineer) who will be actively managing the project work. The information on the resumes should include the length of each person's employment at the proposing firm. (Note: the principal-in-charge and the project manager may be the same person if that fits the procedure by which the firm plans to do the project work.)

f. Please attach a professional resume of the electrical engineer proposed to actively manage the electrical, grounding and lightning protection design work on this project. The information on the resumes should include the length of the person's employment at the proposing firm.

### L-0002 SUBMISSION DATE AND PLACE

The due date for receipt of offers is January 9, 2012 at 12:00 noon, local time. Offerors wishing to submit an offer, modification or withdrawal through the U.S. Postal Service, Certified and Registered mail, Special Delivery, or U.S. Postal Express Mail shall be addressed to:

DOT, FEDERAL AVIATION ADMINISTRATION ACQUISITION MANAGEMENT GROUP, ANM-52 1601 LIND AVENUE S.W. RENTON, WA 98057-3356 ATTN: PATRICIA VIKESLAND

(End of Provision)

# PART IV - SECTION M EVALUATION FACTORS FOR AWARD

#### M-0001 EVALUATION CRITERIA:

Prospective offers are required to submit a technical and business proposal as discussed herein. All evaluation factors other than cost or price, when combined, are significantly more important than cost or price. If two or more Offerors receive relatively similar overall ratings for technical evaluations, the Price Proposals may ultimately increase in relative importance in the overall selection process.

**Prime and Subcontractor Past performance/ Qualifications:** A/E Qualifications Ratings will be based upon the proposal documents and responses to the following Qualification Questions and upon references. The weighting of qualifications will be:

60% prime contractor and proposed sub-contractors' qualifications and past-performance on FAA airport traffic control tower (ATCT) modernization projects or new construction projects, or other modernization projects on building types similar in technical requirements, scope and size to FAA ATCT's.

20% qualifications of the proposed project manager.

10% qualifications of the lead electrical engineer proposed for the project.

10% miscellaneous qualifications.

Any proposal determined to be "not acceptable" in any evaluated area, criteria, or sub-element thereof, shall render the entire proposal to be unacceptable and therefore rejected from further consideration. One-on-one discussions may be held, at the option of the Government, with one or more offers, as determined necessary by the Contracting Officer, to clarify statements, resolve issues and omissions, etc.

#### M-0002 CONTRACT AWARD

- (a) The Government may award a contract based upon this RFO, at the discretion of the source selection official and subject to the availability of funds, to the responsible offeror whose submittal conforms to the requirements and terms and conditions, and is considered to be **the best value to the FAA**.
- (b) The Government may (1) reject any or all submittals if such action is in the public interest, (2) accept other than the lowest cost/price submittal, and (3) waive informalities and minor irregularities in offers received.
- (c) The Government intends to evaluate submittals and award a contract, either on initial submittals without communications, or on initial or subsequent submittals with communications. In evaluating the submittals, the Government may conduct written or oral communications with any and/or all offerors, and may down-select the firms participating in the competition to only those offerors most likely to receive award. A submittal in response to an RFO should contain the offeror's best terms from a cost or price and technical standpoint.
- (d) A written award or acceptance of offer mailed or otherwise furnished to the successful offeror within the time for acceptance specified in the offer shall result in a binding contract without further action by either party. Before the offer's specified expiration time, the Government may accept an offer (or part of an offer, as provided in paragraph (c) above), whether or not there are communications after its receipt, unless a written notice of withdrawal is received before award. Communications conducted after receipt of an offer do not constitute a rejection or counteroffer by the Government.
- (e) The Government may determine (if applicable) that an offer is unacceptable if the prices proposed are materially unbalanced between line items or subline items. An offer is materially unbalanced when it is based on prices significantly less than cost for some work and prices which are significantly overstated in relation to cost for other work, and if there is a reasonable doubt that the offer will result in the lowest overall cost to the Government, even though it may be the low evaluated offer.

(f) The Government may disclose the following information in post-award debriefings to other offerors: (1) the source selection official's decision; (2) the offeror's evaluated standings relative to the successful offeror(s); and (3) a summary of the evaluation findings relating to the offeror.

(End of Provision)